

Waves and Landau Damping in Collisionless Plasma.

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→ Phase space flow incompressible
(Liouville Thm.)

→ Derive Vlasov Eqn. from:

- Liouville Eqn.

$$- N = \sum_i^{\text{"pos."}} \delta(\underline{x} - \underline{x}_i) \delta(\underline{v} - \underline{v}_i) \rightarrow \text{Klimontovich Eqn.}$$

- hierarchy, with $f(\underline{x}_1, \underline{x}_2, t) =$

$$\text{"couched pos sum"} \leftarrow f(\underline{x}_1, t) f(\underline{x}_2, t) + g(\underline{x}_1, \underline{x}_2, t)$$

and $1/n \gg \ll 1 \Rightarrow g \ll f^2$ etc.

(Return in Fluctuations Discussion)

* IV.) Collective Response in Collisionless Plasma

→ Waves in Vlasov Plasma (1D)

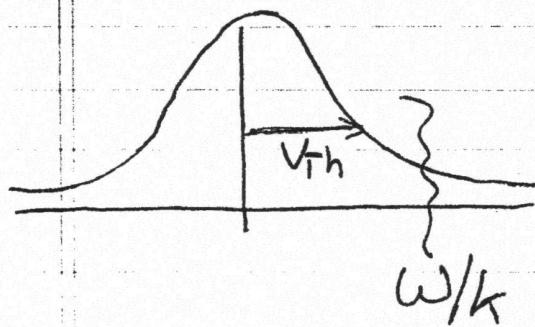
$$- \omega, kV \gg \gamma \Rightarrow$$

$$f = \langle f \rangle + \tilde{f}$$

$$\langle f \rangle = (\frac{1}{\sqrt{\pi}} v_{th}) \exp(-v^2/kV_{th}^2) \quad (\text{Maxwellian})$$

i.e. $\langle f \rangle$ established on long-time scale

- Seek contact with Langmuir Wave (ions stationary)
 $\Rightarrow \omega > k V_{th}$ (Heuristic)



Then, linearize:

$$\frac{\partial \tilde{f}}{\partial t} + v \frac{\partial \tilde{f}}{\partial x} = -\frac{q}{m} \tilde{E} \frac{\partial \langle f \rangle}{\partial v}$$

$$\nabla^2 \tilde{\phi} = -4\pi n_0 e \int \tilde{f} dv$$

$$f = \sum_{k, \omega} f_{k, \omega} e^{i(kx - \omega t)}$$

$$\Rightarrow -i(\omega - kv) \tilde{f}_{k, \omega} = \frac{q}{m} i k \tilde{\phi}_{k, \omega} \frac{\partial \langle f \rangle}{\partial v} + k^2 \tilde{\phi}_{k, \omega} = 4\pi n_0 e \int \tilde{f}_{k, \omega} dv$$

$$\tilde{f}_{k, \omega} = -k \frac{e}{m} \frac{\tilde{\phi}_{k, \omega} \frac{\partial \langle f \rangle}{\partial v}}{(\omega - kv)}$$

$$\text{so } k^2 \tilde{\phi}_{k, \omega} = -\omega^2 k \int dv \frac{\partial \langle f \rangle / \partial v}{(\omega - kv)} \tilde{\phi}_{k, \omega}$$

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Thus, $\epsilon(k, \omega) = 1 + \frac{c^2}{k} \int dV \frac{\partial \langle f \rangle / \partial V}{(\omega - kV)}$

- dielectric function for Vlasov Plasmas

? How Handle Pole at $\omega = kV$?

- Recall V. E. derived in limit $\gamma \rightarrow 0$

$$1/(\omega - kV) = \lim_{\epsilon \rightarrow 0} 1/(\omega - kV + i\epsilon)$$

- Concepts
- wave-particle reference
- causal damping

- Alternatively, causality requires: $\begin{matrix} \vec{\phi} \rightarrow 0 \\ t \rightarrow -\infty \end{matrix}$

$$\phi \sim e^{-i\omega t} \Rightarrow \phi \sim e^{-i(\omega + i\epsilon)t}$$

(i.e. formally IVP)

$$1/(\omega - kV) = \lim_{\epsilon \rightarrow 0} 1/(\omega - kV + i\epsilon)$$

$$= \frac{P}{\omega - kV} - c\pi \delta(\omega - kV)$$

(Plumelj
Formulce)

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$$\epsilon(k, \omega) = 1 + \frac{\omega^2}{k} \int dv \frac{\partial \langle f \rangle / \partial v}{\omega - kv}$$

$$= 1 + \frac{\omega^2}{k} \int dv \frac{p}{\omega - kv} \frac{\partial \langle f \rangle}{\partial v}$$

$$-i\pi \frac{\omega^2}{k|k|} \frac{\partial \langle f \rangle}{\partial v} \Big|_{\omega/k} \rightarrow \text{physical content! ?}$$

i.e.

$$\delta(\omega - kv) = \frac{1}{|k|} \sigma(v - \omega/k)$$

Further : $\frac{\partial \langle f \rangle}{\partial v} = -\frac{v}{V_{Th}} \langle f \rangle$

$$kv_{Th} < \omega \Rightarrow \frac{p}{\omega - kv} = \frac{1}{\omega} \left(1 + \frac{kv}{\omega} + \left(\frac{kv}{\omega} \right)^2 + \left(\frac{kv}{\omega} \right)^3 + \dots \right)$$

$$\epsilon_r(k, \omega) = 1 - \frac{\omega^2}{k V_{Th}^2} \int \frac{\langle f \rangle v}{\omega} \left(1 + \frac{kv}{\omega} + \left(\frac{kv}{\omega} \right)^2 + \left(\frac{kv}{\omega} \right)^3 + \dots \right)$$

$$= 1 - \frac{\omega^2}{\omega^2} - 3 \frac{\omega^2 V_{Th}^2 k^2}{\omega^4}$$

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$$\begin{aligned} \text{N.B. } \langle x^4 \rangle &= \int dx \ x^4 e^{-x^2/2} \\ &= 4 \frac{\partial^2}{\partial x^2} \Big| \int dx e^{-x^2/2} \\ &\quad x=1 \\ &= 4 \frac{\partial^2}{\partial x^2} \Big| \left(\cancel{x^4} / \sqrt{x} \right) \\ &\quad x=1 \\ &= 4 \frac{3}{x} \quad (\text{via normalization}) \end{aligned}$$

→ "3" appears from moments of Gaussian

→ Moments replace underlying equation of state.

$$\epsilon_r(k, \omega) = 1 - \frac{\omega_p^2}{\omega^2} \left(1 + 3 \frac{k^2 V_{th}^2}{\omega^2} \right)$$

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$$G = G_R + i \epsilon_{IM}$$

$$\epsilon_R = 1 - \frac{\omega_p^2}{\omega^2} \left(1 + 3 \frac{k^2 V_{th}^2}{\omega^2} \right)$$

$$\epsilon_{IM} = - \pi \frac{\omega_p^2}{k/k_f} \frac{\partial \langle f \rangle}{\partial v} \Big|_{\omega/k}$$

$\rightarrow G_R = 0 \Rightarrow$ collective resonance / wave

- as ϵ derived via $(kV/\omega) \ll 1$ expansion, need determine $\omega(k)$ iteratively

$$\epsilon_r = 0 = 1 - \frac{\omega_p^2}{\omega^2} \left(1 + 3 \frac{k^2 V_{th}^2}{\omega^2} \right)$$

Lowest order : $\overset{(0)}{\omega} = \omega_p$

$$\rightarrow \epsilon_r = 1 - \frac{\omega_p^2}{\omega^2} \left(1 + 3 \frac{k^2 V_{th}^2}{\omega^2} \right)$$

$\therefore \omega^2 = \omega_p^2 \left(1 + 3 k^2 \frac{V_0^2}{\omega^2} \right) \xrightarrow{\text{constant fluid}} \xrightarrow{\text{structure agrees with fluid model}}$

- Distribution function determines equation of state

i.e. #3 $\leftrightarrow \int v^4 \langle f \rangle$

Contrast k+T: $\rho = \rho_0 (\rho/\rho_0)^\gamma$ $\gamma=3$
 $\gamma=3 \leftrightarrow$ Maxwellian

- Structure of dispersion relation identical to warm fluid model
 $\leftrightarrow k u_{Th} < \omega$,

$\rightarrow \epsilon_{IM}$.

$$\epsilon_{IM} = -\frac{\pi \omega_p^2}{k T_h} \left. \frac{\partial \langle f \rangle}{\partial v} \right|_{\omega/k}$$

$$Q = \omega \epsilon_{IM} (|E|^2 / 8\pi) \rightarrow \text{dissipated energy}$$

\Rightarrow

$$Q = -\omega_k \frac{\pi \omega_p^2}{k T_h} \left. \frac{\partial \langle f \rangle}{\partial v} \right|_{\omega_k/k} |E|^2 / 8\pi$$

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, now,

$$\frac{\partial W_h}{\partial t} + \nabla \cdot S_h + Q_h = 0$$

$$\Rightarrow \gamma_h = -Q_h/W_h$$

$$W_h = \omega_h \frac{\partial E_h}{\partial \omega} \Big|_{\omega_h} \frac{|E|^2}{8\pi}$$

$$\therefore \gamma_h = \left(\frac{\pi \epsilon_0^2}{k|h|} \frac{\partial \langle f \rangle}{\partial V} \Big|_{\omega_h} \right) \Big/ \left(\frac{\partial \epsilon_r}{\partial \omega} \Big|_{\omega_h} \right)$$

Alternatively:

$$\epsilon = \epsilon_R(k, \omega) + i\epsilon_{IM}(k, \omega)$$

$$\omega = \omega_k + i\gamma_k \quad \gamma \ll \omega_h$$

$$\epsilon = \epsilon_R(k, \omega_k + i\gamma_k) + i\epsilon_{IM}(k, \omega_h)$$

$$\approx \epsilon_R(k, \omega_h) + i\gamma_h \frac{\partial \epsilon_R}{\partial \omega} \Big|_{\omega_h} + i\epsilon_{IM}(k, \omega_h)$$

$$\gamma_h = -\epsilon_{IM}(k, \omega_h) / (\partial \epsilon_R / \partial \omega) \Big|_{\omega_h}$$

agrees above.

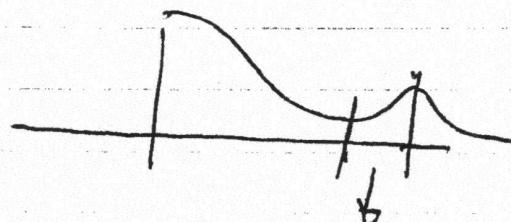
$$\text{Thus } \rightarrow \frac{\partial \langle f \rangle}{\partial v} \Big|_{\omega/k} < 0$$

\Rightarrow damping (Landau damping)

$$\rightarrow \frac{\partial \langle f \rangle}{\partial v} \Big|_{\omega/k} > 0$$

\Rightarrow growth

i.e. 'Bump on Tail'

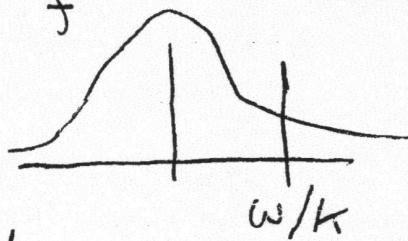


$$\omega/k \sim v \text{ growth}$$

$$\text{as } \frac{\partial \langle f \rangle}{\partial v} > 0$$

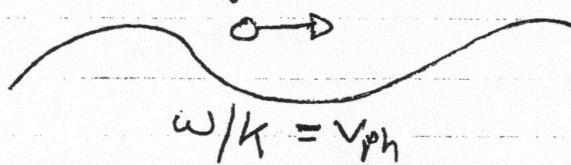
Physics of Landau Damping

Consider



\rightarrow Landau damping occurs due to wave particle resonance $\omega/k \sim v$

\rightarrow intuitively, consider wave interaction with \textcircled{v} resonant particle



Resonant particle 'sees' \textcircled{v} DC field

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$$\frac{dv}{dt} = \frac{q}{m} E \cos(kx - wt)$$

$$= \frac{q}{m} E \cos(k(x - v_{ph}t))$$

if boost to frame at particle velocity v

$$x' = x - vt$$

$$v' = v - V$$

$$a' = q$$

⇒

$$\frac{dv}{dt} = \frac{q}{m} E \cos(k(x + (v - v_{ph})t))$$

∴ - secular (in time) interaction of
 $v \sim v_{ph}$ resonance

- $v \leq \omega/k \Rightarrow$ wave accelerates particles,
 loses energy

$v \geq \omega/k \Rightarrow$ wave decelerates particles,
 gains energy

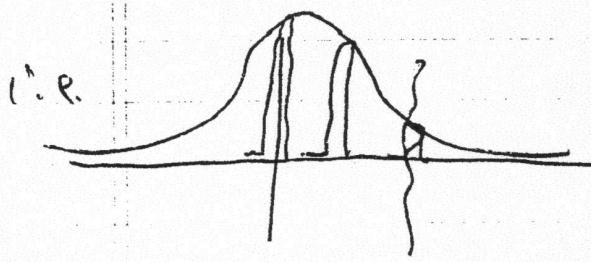
$Q = \# \text{ accelerated} - \# \text{ decelerated}$

$$\sim (\partial f / \partial v) \Big|_{\omega/k}$$

► Quantitatively:

- as $Q = \langle \underline{E}^* \cdot \underline{J} \rangle$

seek $\bar{\mathcal{E}} = \langle g_V E \rangle \rightarrow$ time averaged
work on resonant
'beam'



\Rightarrow plasma distribution
as superposition of
beams

then $Q = \int dV \bar{\mathcal{E}}$

- $V = V_0 + \delta V$
 \downarrow perturbations induced by wave
 $x = x_0 + \delta x$

$\approx \frac{d\delta V}{dt} = \frac{q}{m} E \Big|_{x_0, V_0}$

$$\frac{d\delta x}{dt} = \delta V$$

$$\bar{\mathcal{E}} = \bar{g} \langle V E \rangle$$

$$\begin{aligned} V &= V_0 + \delta V \\ E &\approx E(t, x = x_0 + \delta x) \\ &= E(t, x_0) + \delta x \frac{\partial E}{\partial x} \Big|_{x_0} \end{aligned}$$

$$\bar{Z} = \bar{Z} \left\langle (V_0 + \delta V) (E(t, x_0) + \delta x \frac{\partial E}{\partial x} \Big|_{x_0}) \right\rangle$$

↓ ↓ ↓ ↓ both ↓
DC DC DC DC both DC.

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$$\bar{Z} = 2 V_0 \left\langle \delta x \frac{\partial E}{\partial x} \Big|_{x_0} \right\rangle + 2 \left\langle \delta V E(t, x_0) \right\rangle$$

Now: $\frac{d\delta V}{dt} = \frac{2}{m} E(t, x_0)$ $x_0 = x_0' + V_0 t$

$$= \frac{2}{m} E_0 e^{ikx_0} e^{ik(V_0 - \omega/k) + \delta t}$$

$$x_0' = 0 \quad (\text{convenience})$$

$$\frac{\omega}{k} = v_{ph}$$

$$\delta > 0 \Rightarrow \delta V \rightarrow \infty \text{ as } t \rightarrow -\infty$$

$$\therefore \frac{d\delta V}{dt} = \frac{2}{m} E_0 \exp(i k (V_0 - \omega/k - i \delta) +)$$

$$\delta V = \frac{2}{m} \frac{E_0 e^{ik(V_0 - \omega/k - i \delta) +}}{i(k(V_0 - v_{ph}) - i \delta)} \int_{-\infty}^{+}$$

$$\Rightarrow \delta V = \frac{2}{m} E(t, x_0) / i k (V_0 - v_{ph} + \delta)$$

$$\delta x = \frac{2}{m} E(t, x_0) / (i k (V_0 - v_{ph} + \delta))^2$$

Thus

$$\begin{aligned}\bar{Z} &= qV_0 \left\langle \partial_x \frac{\partial E}{\partial x} \right\rangle + q \left\langle \partial V E \right\rangle \\ &= qV_0 \left\langle -ik E^*(t, x_0) \frac{\partial}{m} \frac{E(t, x_0)}{(ik(V_0 - V_p) + \sigma)^2} \right\rangle \\ &\quad + q \left\langle E^*(t, x_0) \frac{\partial}{m} \frac{E(t, x_0)}{(ik(V_0 - V_p) + \sigma)} \right\rangle\end{aligned}$$

note: $E^* E$ gives DC part

$$\begin{aligned}\Rightarrow \bar{Z} &= \frac{d}{dV_0} \left\{ \frac{q^2}{2m} |E|^2 \frac{V_0}{ik(V_0 - V_p) + \sigma} \right\} \\ &= \frac{d}{dV_0} \left\{ \frac{q^2}{2m} |E|^2 \frac{-iV_0}{k(V_0 - V_p) - i\sigma} \right\} \quad \left. \begin{array}{l} \text{note:} \\ '2' \text{ from} \\ \cos^2 \end{array} \right\}\end{aligned}$$

real part \Rightarrow

$$\bar{Z} = \frac{d}{dV_0} \left\{ \frac{q^2}{2m} |E|^2 \frac{V_0 \pi \sigma (V_b - V_p)}{ik} \right\}$$

$$Q = n \int dV_0 \bar{g}(V_0) \langle f(V_0) \rangle$$

$$= \int dV_0 \langle f(V_0) \rangle \frac{d}{dV_0} \left\{ \frac{n e^2 |E|^2}{2m} \frac{V_0}{\pi} \int d(V_0 - V_{ph}) \right\}$$

$$= -\frac{\pi \omega_p^2}{|k|} \frac{\omega}{k} \frac{\partial \langle f(V) \rangle}{\partial V} \Big|_{\omega/k} \left(|E|^2 / 8\pi \right)$$

⇒

$$Q = -\pi \frac{\omega_p^2}{|k|} \frac{\omega}{k} \frac{\partial \langle f \rangle}{\partial V} \Big|_{\omega/k} \left(|E|^2 / 8\pi \right)$$

→ agrees with previous result

→ establishes Landau damping mechanism as collisionless heating, due to secularity at wave-particle resonance.

→ Fate of energy :

$$\frac{\partial W_h}{\partial t} + D/S_h + Q_h = 0$$

$$\frac{\partial W_h}{\partial t} = -Q_h \Rightarrow L.D. \leftrightarrow \text{wave energy dissipated}$$

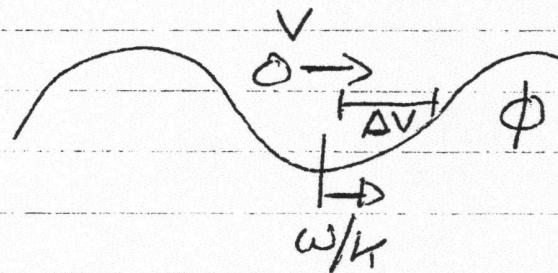
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at clearly resonant particles heated

$$\text{so } \frac{\partial RPKED}{\partial t} + \frac{\partial W_h}{\partial t} = 0$$

∴ Landau damping heats resonant piece of distribution at expense of wave energy.

→ Clearly, linear theory of Landau damping only valid for times less than bounce time in trough of wave:



$$\Delta v \sim \sqrt{2\phi/m}$$

$$1/\gamma_b = k \Delta v$$

Then $\gamma_h = \gamma_h^{(0)}$ for $t < \tau_b$, only.